Impact of dust-polluted convective clouds over the Tibetan Plateau on downstream precipitation

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1. Introduction

With the increase in human activities, aerosols are becoming one of the most important factors affecting the climate and environment at regional and global scales (Huang et al., 2014; Liu et al., 2015; Qin et al., 2001; Wang et al., 2015; Zhang, 2010). Aerosol particles affect the Earth’s climate system through direct (Huang et al., 2014; Liu et al., 2013, 2014; Sokolik and Toon, 1996; Shi et al., 2005), indirect and semidirect (Huang et al., 2006a; Huang et al., 2010; Sassen, 2002) radiative effects. Currently, the impacts of aerosols on clouds and precipitation are still uncertain. Huang et al. (2006b) and Wang et al. (2010) found that aerosols driven into clouds can reduce the ice-cloud effective particle size and optical depth of cirrus clouds over northwestern China. Moreover, Rosenfeld and Woodley (2000) suggested that aerosols can hinder warm shallow clouds and promote deep convective clouds. Koch and Del Genio (2010) found a similar phenomenon in which aerosols below the cloud layer can increase the coverage of convective clouds. In addition, previous studies have shown that aerosol-cloud interactions have a suppressive effect on light rain (Andreae et al., 2004; Huang et al., 2009; Khain et al., 2008; Qian et al., 2009) and a stimulative effect on heavy rain (Petters et al., 2006; Rosenfeld et al., 2008; Tao et al., 2012).

The Tibetan Plateau (TP) is the highest-elevated landmark in the world, with elevations ranging from approximately 1500 to 5000 m above sea level. The TP plays a significant role in adjusting atmospheric circulation and climate change over the Northern Hemisphere, especially over Asia (Duan and Wu, 2005; Manabe et al., 1974; Shi et al., 2015; Tang et al., 2013; Wu et al., 2007; Zhou et al., 2009; Hua et al., 2018). Zhang et al. (2001) reported that dust aerosols are the major type of aerosols over the TP. Based on satellite observations and a trajectory model, Jia et al. (2015) found that dust aerosols over the TP are mainly transported from the Taklimakan Desert in summer. The addition of aerosols to the atmosphere is a new threat for the TP.

The aerosols transported to the TP may affect the hydrological and monsoon cycles in India and East Asia (Huang et al., 2009; Kuhlmann and Quaas, 2010; Lau et al., 2010). In addition, the TP is a relatively active area for convective clouds and mesoscale convective systems due to its elevated heating effect in the summer. The eastward movement of convective clouds away from the TP could cause heavy rainfall over the middle and lower reaches of the Yangtze River (Liu et al., 2007).
Although many studies have addressed aerosols over the plateau, the effect of aerosols on convective clouds and the additional impact of polluted clouds on downstream precipitation are unclear.

In this study, a heavy dust event and dust-convective cloud mixtures over the TP on 16–17 July 2016 are considered. By combining several datasets (i.e., the Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO), CloudSat, and the Earth's Radiant Energy System (CERES), Moderate Resolution Imaging Spectroradiometer (MODIS), Tropical rainfall Measuring Mission (TRMM), the Fengyun (FY)-2E satellite and the second Modern-Era Retrospective analysis for Research and Applications (MERRA-2) datasets, the effect of aerosols on convective clouds over the TP and the impact of polluted convective clouds moving away from the TP towards downstream regions are investigated.

2. Datasets

2.1. CALIPSO profiles

The Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) sensor carried on the CALIPSO satellite is a two-wavelength, polarization-sensitive backscatter lidar that exhibits strong sensitivity in the detection of aerosols and clouds and can therefore acquire their vertical information (Winker and Pelon, 2004; Liu et al., 2009). In this study, data consisting of the total and perpendicular attenuated backscatter coefficients at 532 nm from the CALIPSO Version 4 Level 1B product and data comprising aerosol particle properties such as classified particles from the Version 4 Level 2 CALIPSO lidar Vertical Feature Mask (VFM) product are used to identify dust events. These products are archived and contributed by the Atmospheric Science Data Center (ASDC).

2.2. CERES profiles

CERES is one of the highest-priority scientific satellite instruments developed for the Earth Observing System (EOS) and provides the first long-term global estimates of the radiative fluxes in Earth's atmosphere. In this study, the 1-hourly SYN1deg.Ed4A product, with a resolution of 1° × 1°, is used to analyze cloud properties including ice water path, cloud area fraction and cloud top height. The CERES data are obtained from the ASDC at the NASA Langley Research Center (Chambers et al., 2002).

2.3. CloudSat profiles

CloudSat is the first satellite equipped with a 94 GHz Cloud Profiling Radar (CPR), with an orbital resolution of 2.5 km, horizontal resolution of 1.4 km, and a total of 125 vertical layers in the vertical direction, each with a thickness of 240 m. The cloud classification product (2B_CLDCLASS) of CloudSat is a combination of CPR, CALIPSO, and MODIS data and distinguishes classifications based on different cloud structure, and physical characteristics. The specific classification classifies clouds into 8 categories: Altocumulus (Ac), Altostratus (As), Cumulus (Cu), Deep Convective Cloud (Dc), Nimbostratus (Ns), Stratus (St), Stratocumulus (Sc), and Cirrus (Ci). In this study, the 2B_CLDCLASS.GRANULE product is used to identify cloud categories over the TP. The CloudSat data are obtained from the Cooperative Institute for Research in the Atmosphere at Colorado State University (Stephens et al., 2008; Vane and Stephens, 2008).

2.4. MODIS profiles

MODIS is an optical remote sensor onboard the Terra and Aqua satellites that provides global observations at wavelengths ranging from the visible band to the mid-infrared band. In this study, the MOD08_D3 product, with a resolution of 1° × 1°, is used to analyze the variation in cloud aerosol properties. MODIS product archived at the Atmosphere Archive and Distribution System (LAADS) Distributed Active Archive Center (DAAC) (Ackerman et al., 1998; Engel-Cox et al., 2004).

2.5. TRMM profiles

The TRMM satellite project was jointly conducted by NASA (National Aeronautics and Space Administration) and NASA (National Space Development Agency). The TRMM satellite can provide precipitation data for the region from 50°N to 50°S. In this study, the TRMM 3-hourly 3B42 v7 product, with a resolution of 0.5° × 0.5°, is used to display the spatial and temporal distributions of precipitation over China. The TRMM data are obtained from the NASA's Goddard Space Flight Center (Simpson et al., 1998; Lonfat, 2004).

2.6. FY-2E profiles

The FY-2E meteorological satellite is a first-generation geostationary meteorological satellite developed in China. FY-2E is the third Fengyun series of stationary satellites, which was launched on 23 December 2008 (Zou et al., 2011; Wang and Li, 2014). In this study, hourly black body temperature (TBB) data, with a resolution of 5 km, from the FY-2E profiles were used to study the movement of convective clouds. The FY-2E data are provided by the National Satellite Meteorological Center of the Chinese Meteorology Administration (http://www.nsmc.cma.gov.cn/).

2.7. MERRA-2 data

MERRA-2 is a long-term atmospheric reanalysis dataset, and it starts in 1980. MERRA-2 is also the first satellite-era global reanalysis to assimilate the space-based observations of aerosols and represent their interactions with other physical processes in the climate system. All data collections from MERRA-2 are at the regular longitude-by-latitude grid of 0.625° × 0.5° (Molod et al., 2015; Gelaro et al., 2017). In this study, the hourly aerosol diagnostics product of tavgl2d_aer_Nx from MERRA-2 is used, and the hourly meteorological contours of the U and V components of the wind speed and geopotential height are used to analyze meteorological conditions. The MERRA-2 data are provided by the NASA Global Modeling and Assimilation Office (https://disc.gsfc.nasa.gov/daac-bin/FTPSubset2.pl/).

2.8. ECMWF data

The Copernicus Climate Change Service (C3S) operated by the European Center for Medium-Range Weather Forecasts (ECMWF) released a new global climate monitoring dataset, ERA5, on 17 July 2017 (Hersbach, 2016). In this study, the hourly ERA5 reanalysis data are used to analyze the variation in relative humidity. The reanalysis data have a spatial (latitudinal and longitudinal) resolution of 0.25° × 0.25°.

3. Analysis results

The TP extends over a region of 25–40°N, 74–104°E, as shown by the area enclosed within the purple line in Fig. 1. The TP is located at the juncture of several important natural and anthropogenic aerosol sources. The Taklimakan Desert lies to the north, the Gobi Desert lies to the northeast, and the Great Indian Desert lies to the southwest. To discuss the influence of the TP on precipitation over downstream regions, North China (red rectangle in Fig. 1) and the Yangtze River basin (blue rectangle in Fig. 1) are the downstream regions of concern in this study.
3.1. Detection of aerosols and clouds over the TP

In this section, the CALIPSO and CloudSat products are combined to identify the aerosol and cloud categories, respectively. Fig. 2 shows the altitude-orbit cross-section of the total attenuated backscattering intensity (a1-a2), the depolarization ratio (b1-b2) and the spatial distributions of the cloud and aerosol types (c1-c2) on 16–17 July 2016. The satellite orbit paths of CALIPSO on 16 July, 17 July 2016 are presented by the red and green lines in Fig. 1, respectively. In Fig. 2a1-a2, the gray shading indicates the topography, the white areas denote clouds, the deep blue area denotes the region without signal because of cloud blocking, and the green-yellow-orange color represents aerosols. Omar et al. (2009) reported that the total attenuated backscatter coefficient of aerosols at 532 nm is concentrated within the range from 0.003 to 0.009 km$^{-1}$sr$^{-1}$, whereas the depolarization ratio of dust aerosols is generally greater than 0.2 and can reach over 0.4 in severe sandstorms (Chiang et al., 2007; Huang et al., 2007a; Xie et al., 2008); these results are shown in Fig. 2b1 and b2 with yellow, orange and red colors. As shown in Fig. 2a1 and b1, the total attenuated backscatter coefficient ranges from 0.002 to 0.008 km$^{-1}$sr$^{-1}$, and the volume depolarization ratio ranges from 0.2 to 0.4. In particular, over the Taklimakan Desert (region of 36°N-42°N in Fig. 2a1), the total attenuated backscatter values are relatively large, which indicates that the aerosol concentration over this area is quite high. When combined with Fig. 2c1, dust aerosols can be observed over the Taklimakan Desert. Therefore, a strong dust event is observed over the Taklimakan Desert on 16 July 2016; at the same time, dust aerosols lifted towards the northern slope of the TP. As shown in Fig. 2a2, b2 and c2, a small amount of dust aerosols is observed over the northern margin of the TP on 17 July 2016 (the region of 25°N-40°N in Fig. 2a2), which indicates that dust aerosols are transported from the Taklimakan Desert to the TP.

Fig. 3 shows the altitude-orbit cross-section of the total attenuated backscattering intensity, cloud classifications and the spatial distributions of aerosol types over the TP on 17 July 2016. In Fig. 3b, different colors represent different cloud types. The observation times of the
CALIPSO and CloudSat satellites are only 12.5 s apart; therefore, the same area can be observed by these two satellites during nearly the same time period. The orbit paths of these two satellites are represented by the blue line in Fig. 1. As shown in Fig. 3b, there are many kinds of clouds over the TP. The northern margin of the TP is dominated by deep convective clouds, which are accompanied by cirrus clouds. At the bottoms of the deep convective clouds, an upper layer of dust aerosols appears. According to the results of Wang et al. (2010), a mixture of dust aerosols and clouds is considered to occur when dust aerosols are observed to be within 50 m of clouds. Therefore, during the dust event on 17 July 2016, dust aerosols mix with deep convective clouds over the northern slope of the TP.

3.2. Effects of aerosols on convective clouds over the TP

Recent advances have revealed a much more complex interaction of aerosols with clouds (Rosenfeld et al., 2014). Smaller cloud droplets, which are polluted by aerosols, when there is a constant cloud water path, evaporate faster and cause more mixing of ambient air into the cloud top, which could further enhance the evaporation of cloud...
droplets. These small cloud droplets polluted by aerosols could induce an increase in cloud albedo, causing a huge cooling effect through the suppression of precipitation and by extending the cloud lifetime. Additionally, the aerosols may transport large quantities of small ice particles to the anvils of deep convective clouds, leading to a warming effect through the reduction of thermal radiation emitted to space. Though the contributions of individual processes to the overall aerosol-cloud interaction cannot be easily separated, overall, aerosol-cloud interaction includes the indirect radiative effect (consists two components: the albedo effect and the lifetime effect) and semi-direct radiative effect. The previous studies suggested that almost all indirect radiative effect values are negative (Menon et al., 2002; Lohmann and Feichter, 2001; Liu et al., 2014). On the contrary, positive values of semi-direct radiative effect were found in many studies (Cook and

Fig. 4. Distributions of the daily mean AOD (a1 and a2), IWP (b1 and b2; units: g m⁻²), ice cloud particle radius (c1 and c2; units: μm) and cloud top height (d1 and d2; units: km) over the TP on 16–17 July 2016.
indicated an active area of convective clouds. The intensity of IWP moves to northeast of the TP (Fig. 4b2). The cloud top height is still high, and the ice cloud particle radius over the same area decreases; correspondingly, the cloud top height indicates higher convection, which implies that convective clouds develop because the cloud life is prolonged.

Fig. 5 shows the time series of the AOD and cloud properties from 16 to 17 July 2016 (yellow shaded area in Fig. 4). The AOD peaked over the northern TP, with a value of 0.16 from 16:00 UTC on 16 July to 01:00 UTC on 17 July, and then gradually declined. Corresponding to the change in aerosols, the ice particle radius over the northern TP reached a minimum on 17 July 2016; the cloud area fraction, cloud top height and relative humidity over the northern TP all increased initially and then decreased gradually after reaching their peak values. These variations in clouds are in agreement with the results of Myhre et al. (2007). As suggested in Myhre et al. (2007), the cloud cover is doubled when the AOD varies from 0.05 to 0.2, which is a result of aerosol-cloud interactions and a prolonged cloud lifetime. Sensitivity experiments in model simulation show that, given the water uptake of aerosols is considered, the cloud cover increases mostly with the AOD. Besides, Myhre et al. (2007) show an obvious dependence of cloud cover on the small AODs, which is in line with the suppression of the precipitation effect (aerosols mostly increase the cloud cover) and less with the semi-direct effect (aerosols mostly decrease the cloud cover). Because of the prolonged cloud lifetime, convective clouds could be developed. When the AOD increases to its peak value, the cloud top height is highest, and the cloud area fraction is the largest (Fig. 5). Simultaneously, dust is absorbing aerosol, the enhanced moisture convergence induced by the heating effect of dusts may be in favor of relative humidity (Lohmann and Feichter, 2001; Perlwitz and Miller, 2010; Huang et al., 2014). The variations in cloud properties imply that the effect of dust aerosols on convective clouds over the TP has promoted the development of convective clouds.

3.3. The eastward movement of dust-polluted convective clouds over the TP

Based on meteorological conditions, the movement of convective clouds can be preliminarily described. As shown in Fig. 6a and b, convective clouds originating from north of the TP moved northeastward on 16 July and 17 July 2016. As shown in Fig. 6c and d, after moving away from the TP, convective clouds continuously moved northeastward towards North China and southeastward towards South China. Furthermore, the movement of convective clouds from the TP was investigated by the distribution of TBB. According to the results of Maddox (1980), the low-value area of TBB corresponded to the area of increased convective activity, and the region where TBB was less than ~10°C indicated an active area of convective clouds. The intensity of convective clouds was divided into 4 levels according to the TBB value: general convective clouds (~32 to ~54°C), strong convective clouds accompanied by thunderstorms (~54 to ~64°C), strong convective clouds passing through the troposphere (~64 to ~80°C) and extremely convective clouds (less than ~80°C). Fig. 7 shows the distribution of daily mean TBB; convective clouds were generated on 16 July over the northern TP and then moved southeast and northeast, which is consistent with the conclusion from meteorological conditions. On 18 July, the convective clouds reached North China and the Yangtze River basin and then developed and merged with local convective cloud clusters the next day. As shown in Fig. 8a and b, strong convective clouds are mainly concentrated over 30°N-35°N and 80°E−90°E (i.e., the northern TP) on 16 July. Meanwhile, there is an abundance of convective clouds over 30°N-35°N and 110°E−120°E (i.e., South China) on 16 July. Thereafter, the convective clouds begin to move northward and southward the latitude and are concentrated over 27°N-40°N on 18 July and 31°N-40°N on 19 July (Fig. 8a). Simultaneously, the convective clouds move eastward with longitude and are concentrated over 95°E−110°E on 18 July and 110°E−120°E on 19 July (Fig. 8b). The convective clouds originating from South China gradually move eastward and extend...
beyond 130°E on 18 July (Fig. 8b).

As illustrated above, here, the convective cloud is defined with TBB below −32 °C. The contour lines with TBB equaling −32 °C are plotted based on the hourly TBB data. The areas enclosed by the contour lines denote the TBB is below −32 °C, which are considered the positions of convective clouds occurring. Though it is limited by the time resolution of hourly TBB data, the convective position moving can be found based on hourly TBB data. Here, due to the limited space, we just show the convective positions at 00:15 UTC (blue lines in Fig. 9), 08:15 UTC (purple lines in Fig. 9) and 16:15 UTC (red lines in Fig. 9) of each day from 16 to 19 July 2016. Fig. 9a shows that there are many convective clouds over the northern TP, and there are also local convective clouds over South and North China. Based on the movements of convective clouds on 16 July 2016, convective clouds mainly originate over the

Fig. 6. Spatial distributions of the daily mean wind (vector; arrows) and geopotential height (colored; blue for low and red for high) at 400 hPa from MERRA-2 on 16–19 July 2016. (For interpretation of the references to color in this figure legend, the reader is referred to the Web version of this article.)

Fig. 7. Spatial distributions of the daily mean TBB (units: °C) from the FY-2E observations from 16 to 19 July 2016.
northern TP and continue to move eastward. Thereafter, a portion of convective clouds originating over the northern part of the TP moved towards Northwest China and mixed with local convective clouds; the other portion of convective clouds moved over the Sichuan basin at 16:15 UTC on 17 July 2016 (Fig. 9b). Then, the convective clouds moved towards North China and the Yangtze River basin and merged with local convective clouds on 18 July 2016 (Fig. 9c). On 19 July, dispersed convective clouds developed and merged into large-area convective cloud clusters (Fig. 9d).

3.4. Impact of dust-polluted convective clouds from the TP on downstream precipitation

Fig. 10 shows time series of the 3-h cumulative precipitation over the Yangtze River basin (blue rectangle in Fig. 1) and North China (red rectangle in Fig. 1). Combining Figs. 5 and 10, after the AOD peak occurred in the early morning on 17 July, convective clouds moved eastward, merged with local convective clouds and brought rainfall over the Yangtze River basin. Finally, with continuous northward movement and merging, these clouds brought obvious rainfall over North China on 19 July 2016.

As shown in Fig. 11, with the convective clouds gradually moving...
over the two regions, the daily cumulative precipitation over the Yangtze River basin and North China increased from 16 to 19 July 2016. On 19 July, convective clouds developed and merged into large-area convective cloud clusters. Meanwhile, along with the movement of convective cloud clusters, the aerosol-affected convective clouds continue to develop after outflowing from the TP. The ice water path (Fig. 12c1 and d1) and cloud top height (Fig. 12c2 and d2) increased continuously along the path of the cloud clusters, and the ice cloud particle radius reached a peak (Fig. 12c3 and d3) on 19 July 2016 over these two regions and caused regional heavy rainfall.

4. Conclusions

In this study, the indirect effect of aerosols on convective clouds over the TP and the impact of aerosol-affected convective clouds on precipitation over downstream areas are investigated. Based on the observations of the CALIPSO and CloudSat satellites, a mixture of dust aerosols and convective clouds over the TP is identified. By analyzing the evolution of AOD and cloud properties over the TP, we found that the addition of dust aerosols in clouds can induce a decrease in ice particle size and prolong the cloud lifetime. The cloud lifetime effect due to dust aerosols can enhance the development of convective clouds; correspondingly, the cloud top height and cloud area fraction increase. Driven by meteorological conditions, aerosol-affected convective clouds over the TP can move continuously towards the Yangtze River basin and North China within four days. Convective clouds over the TP move eastward and merge with local clusters, which triggers obvious and successive rainfall over the Yangtze River basin. Although the cloud lifetime effect due to dust aerosols occurs over the TP, the impact of dust-polluted convective clouds on downstream precipitation cannot be ignored.

Conflicts of interest

The authors declared that they have no conflicts of interest to this work.

We declare that we do not have any commercial or associative interest that represents a conflict of interest in connection with the work submitted.

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Appendix A. Supplementary data

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References


