

## RESEARCH ARTICLE

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## Key Points:

- Cirrus clouds and their macrophysical properties are derived from 2 year Ka-band cloud radar observations at the SACOL site
- The identified cirrus clouds are classified into four distinct regimes, and each regime has distinct diurnal and seasonal variations
- A significant correlation exists between cirrus thickness and the vertical velocity

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## Midlatitude Cirrus Clouds at the SACOL Site: Macrophysical Properties and Large-Scale Atmospheric States

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**Abstract** Two-year observations of a Ka-band Zenith Radar at the Semi-Arid Climate and Environment Observatory of Lanzhou University (SACOL) are used to document the midlatitude cirrus cloud macroproperties. Generally, cirrus occurs 41.6% of the observation time and most frequently appear at about 7.2 km above ground level. The cirrus macroproperties are strongly coupled with large-scale atmospheric states; thus, its occurrence and location over the SACOL have significant seasonal variations. A *k*-mean clustering method is used to classify cirrus into four distinct regimes without a prior knowledge about the meteorological process. Contrasting to the different cirrus physical properties in each regime, the cirrus event of each regime has a distinct seasonal distribution and the synoptic conditions from the ERA-Interim reanalysis responsible for each cirrus regime are also quite different. Since global climate models typically overestimate cirrus cloud thickness due to inadequate parameterization or coarse grid resolution, we examined the probability density functions of large-scale vertical velocity associated with each cirrus regime and the relationship between cirrus thickness and vertical velocity. It is found that the differences of the vertical velocity probability density functions among the cirrus regimes are as distinct as their macroproperties and a significant correlation exists between cirrus thickness and the vertical velocity, although the large-scale vertical motion is nearly as likely to be descending as ascending when cirrus clouds are observed. This may imply that large-scale vertical velocity can be used to constrain the variations of cirrus thickness simulated by global climate models.

**Plain Language Summary** Cirrus clouds are composed of large amount of ice crystals, most frequently distributed in the midlatitude storm track regions and the tropics, and cover about 30% of the Earth surface. They have significant impact on water cycle and radiative balance and thus play an important role in our climate system. These processes strongly depend on the cirrus properties such as top height and vertical distribution. However, cirrus clouds are still a great challenge to be accurately represented in climate models due to incomplete knowledge of their occurrence and physical and dynamical properties that can cause large uncertainties in climate prediction. We obtained the cirrus macroproperties from the cloud radar observations at the Semi-Arid Climate and Environment Observatory of Lanzhou University (a midlatitude site in western China) and found that the cirrus macroproperties are strongly coupled with large-scale atmospheric states. This may help us to better understand the connection between cirrus properties and dynamic processes.

### 1. Introduction

Clouds persistently cover about two thirds of the Earth (e.g., Stubenrauch et al., 2010) and strongly affect the climate by regulating the incoming solar radiation and outgoing longwave radiation through their competing albedo and greenhouse effects (e.g., Fu et al., 2002; Huang et al., 2005; Li et al., 2011; Liu et al., 2013; Ramanathan et al., 1989; Su et al., 2008). Zelinka and Hartmann (2010) reported that the cloud net radiative effects at the top of the atmosphere is about  $-20 \text{ W m}^{-2}$ , which is 5 times larger in absolute value than that caused by a doubling of  $\text{CO}_2$ . Due to the large radiative impact of clouds, even subtle changes in cloud coverage, vertical distribution, height, occurrence frequency, and optical properties can have dramatic effects on the radiative energy budget in the earth-atmosphere system. This will consequently change the atmospheric heating rate and essentially give rise to a modification in general circulation which in turn largely governs the transport of water vapor and the formation and distribution of clouds (e.g., Bony et al., 2015; Stephens, 2005; Thorsen et al., 2013).

Cirrus clouds are composed of large amount of ice crystals and are most frequently distributed in the midlatitude storm track regions and the tropics (e.g., Huang et al., 2007; Wylie & Menzel, 1999). They can be formed by synoptic scale motions such as fronts, low-pressure systems, and jet stream, or mesoscale perturbations, for example, orographic waves and deep convection (Sassen et al., 2008, and references therein). Similar to water clouds, cirrus clouds can reflect and absorb solar radiation, and emit and absorb longwave radiation. However, cirrus clouds are optically thinner than water clouds and thus have less albedo effect. But due to their high-altitude location, cirrus clouds emit thermal radiation at a much lower temperature than the surface; thus, they are like blankets that trap the warm thermal radiation emitted from the underlying atmosphere and the Earth's surface, inducing a large greenhouse effect on climate. The effective temperature at which the terrestrial thermal energy escapes to space depends on the temperature of the overlying cirrus clouds. The higher the cirrus are located, the stronger its greenhouse effect becomes.

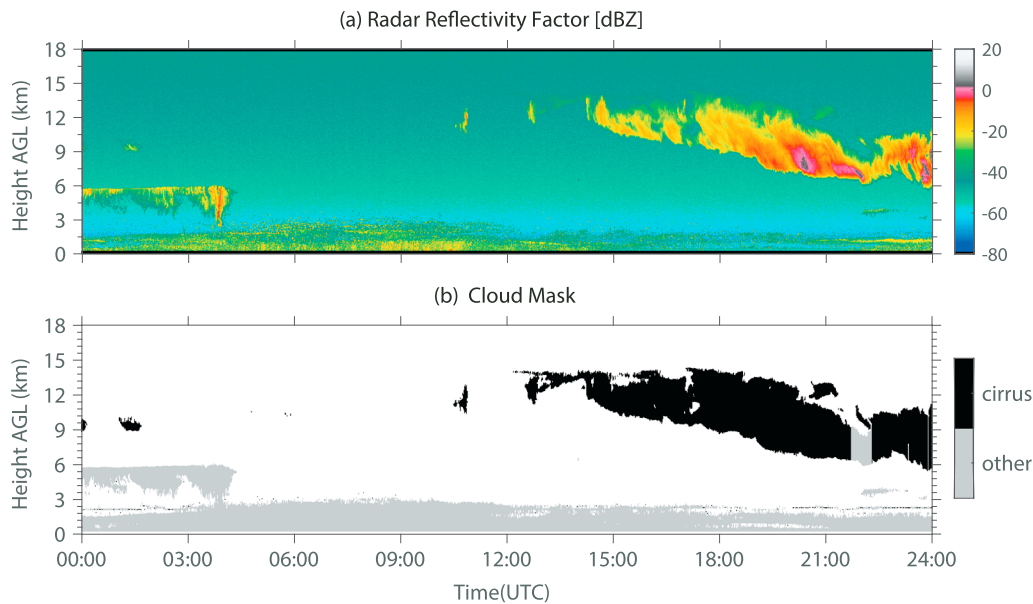
Cirrus clouds have attracted a large amount of scientific awareness for decades (e.g., Ackerman et al., 1988; Fu, 1996; Huang, 2006; Luebke et al., 2016; Sassen et al., 2008). Many studies have been done to investigate the mechanisms of cirrus cloud formation; derive their macroproperties and microproperties; examine the relationship between weather conditions and cirrus properties; simulate cirrus evolution, life cycle, and their effects on precipitation and global energy budget; and discuss a cirrus-related climate engineering idea to mitigate anthropogenic global warming, etc. (e.g., Berry & Mace, 2013; Cziczko et al., 2013; Fu et al., 2002; Huang et al., 2006, 2017; Mitchell & Finnegan, 2009; Wang & Sassen, 2002). However, cirrus clouds are still a great challenge to be accurately represented in global general circulation models (GCMs) for the reasons of incomplete knowledge of the physical and dynamical controls of cirrus clouds that are parameterized in GCMs. Waliser et al. (2009) have shown that there were large differences in ice water path among different climate models, and this difference can be a factor of 6 between the largest and smallest values even when the two outliers are removed. Williams and Webb (2009) found that model-produced cirrus clouds were generally too thick relative to measurements. These large discrepancies of cirrus properties in GCMs will further affect the accurate calculation of cirrus radiative effects and estimation of its response to climate change. Thus, cirrus clouds remain the largest source of uncertainty of climate change prediction.

Long-term continuous measurements are an important step to provide adequate and detailed cloud process observations that are essential for better understanding the relationships between cirrus properties and weather conditions, improving the parametrization of cirrus in GCMs, and constraining the models' outputs. Ground-based millimeter-wavelength cloud radar has been recognized as an effective and important tool in characterizing cloud process during the past decades (Kollias et al., 2007). Because of their short wavelengths, cloud radars have excellent sensitivity to small cloud droplets and ice crystals and can penetrate clouds with multiple layers from the bottom to the top and acquire detailed cloud vertical structure information with high temporal and spatial resolutions. In July 2013, a new generation of Ka-band Zenith Radar (KAZR) was deployed in China at the Semi-Arid Climate and Environment Observatory of Lanzhou University (SACOL) site (latitude: 35.946°N; longitude: 104.137°E; altitude: 1.97 km) (Huang et al., 2008), providing an opportunity to observe and reveal the detailed structure of the midlatitude clouds over the semiarid regions of East Asia. In this paper, we first investigated the macroproperties of cirrus clouds observed by the KAZR, and clustered the cirrus clouds into four different regimes according to their physical properties. Then the large-scale atmospheric states for different cloud regimes are examined to reveal the relationship between the cirrus properties and the dynamic and thermodynamic conditions. The KAZR and the large-scale atmospheric conditions are described in section 2.1. The methods for cirrus identification and clustering are introduced in sections 2.2 and 2.3, respectively. The cirrus macroproperties and the link to the large-scale atmospheric state are shown in section 3. Summary and conclusions are given in section 4.

## 2. Data and Methods

### 2.1. Data Sets

The primary instruments that we used in this study is the KAZR which has been described by Ge et al. (2017). KAZR is a dual-polarization Doppler radar operated with two modes. One is called a "chirp" mode, because it has a relatively long waveform and the frequency changes with time. This waveform is compressed through the use of linear frequency modulation and can achieve a radar sensitivity as high as about  $-68$  dBZ at 5 km (Zhu et al., 2017). This mode is efficient in penetrating low-level clouds and detecting high clouds. The other



**Figure 1.** (a) Original radar-measured reflectivity factor on 27 June 2015; (b) corresponding cloud mask results. Black color represents the identified cirrus clouds. Gray color is for noncirrus hydrometeors and clutters.

mode is called “burst.” A short pulse is transmitted at this mode to view clouds as low as 0.2 km above ground level (AGL). The chirp pulse is transmitted at 34.89 GHz, while the burst pulse is transmitted at 34.83 GHz. The characteristics of the SACOL KAZR is stable, and it has been continuously operated since the radar was set up in July 2013. In this paper, we mainly use 2 year radar reflectivity data with a temporal and vertical resolution of 4.27 s and 30 m, spanning from 1 August 2013 to 31 July 2015 during which KAZR provides more than 96.8% useful data. The longest system shutdown of 5 days from 26 to 31 July 2014 was caused by a high shelter temperature. The cloud mask (i.e., discrimination of signal from noise) was achieved by using an improved cloud mask algorithm for cloud radar proposed by Ge et al. (2017). As shown in Figure 1, hydrometeors are well identified from the original observed data by this method.

The 6-hourly daily atmospheric conditions, including horizontal winds, vertical motion, temperature, and humidity are from the ERA-Interim reanalysis data, (Dee et al., 2011), which are used to examine the relationships between cirrus cloud properties and large-scale atmospheric state. The temperature data are interpolated to each radar bin through the hydrostatic equation and further used in the cirrus cloud identification process.

## 2.2. Cirrus Clouds Identification

Based on the cloud mask results, the KAZR-observed clouds are further identified as cirrus by adopting the criteria proposed by Mace et al. (2006). The definition of cirrus in the method requires the temperature of radar echo cloud top to be colder than  $-30^{\circ}\text{C}$ , and the temperatures of both the radar maximum dBZ layer and cloud-base to be colder than  $0^{\circ}\text{C}$ . In addition to these minimum temperature requirements, a score of 15 is necessary according an empirical summation formula (Mace et al., 2006). This additional criterion requires that the layers to be considered as cirrus should be somewhat colder than the minimum temperature conditions. The empirical approach would ensure that the ice phase processes are dominant in the clouds without imposing an arbitrary constraint on the boundary of the cloud layer and also exclude deep convective cloud layers that are capped by cirrus and precipitating cloud systems. After cirrus clouds are identified, the consecutive profiles with continuous cirrus clouds will be identified as a cirrus event. Although the cirrus identifying method can largely preserve a contiguous cirrus event from being separated into multiple events (Mace et al., 2006), it is clear in Figure 1b that a small part of the cloud, about 40 min around 2200 UTC, was not recognized as cirrus. Considering that the large-scale atmospheric conditions may not change too much within a few hours, two identified cirrus clouds will be treated as the same cirrus event if the time interval between them is less than an hour.

### 2.3. Clustering of Cirrus Events

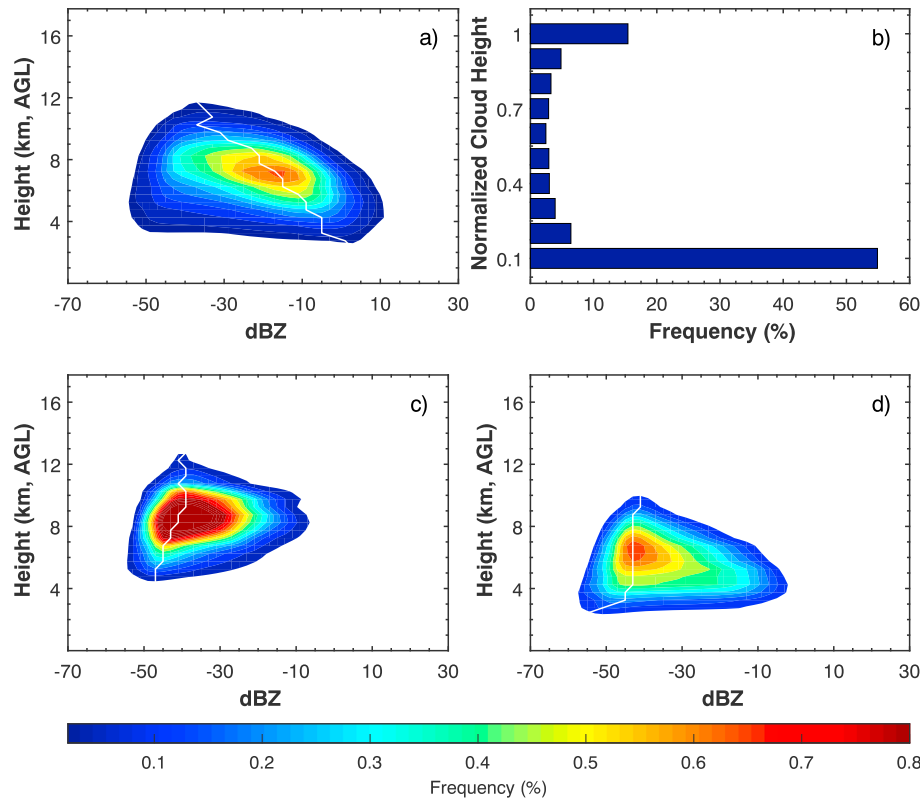
The cirrus events were partitioned into different groups (i.e., clusters) by applying a  $k$ -means clustering algorithm (Jain et al., 1999, and references therein) to the mean cloud top height, spanning time, and thickness of each cirrus event. The  $k$ -mean method classifies all data elements into the predefined  $k$  clusters by iteratively searching the cluster centroids until meeting the convergence criterion to maximize the similarity within each cluster (Gordon & Norris, 2010). In order to ensure that each data element equally contributes to clustering, the values of cloud top height, spanning time, and thickness were normalized to the range from 0 to 1. The similarity is measured by the Euclidean distance. In each cluster, the variance between the vector for the cirrus events and the vector of the cluster centroid is minimized. Since a properly predefined number of clusters is necessary and the convergence results depend on the initial centroids (seeds), we repeated the analysis for an increasing number of  $k$  from 3 to 10 and run the cluster analysis 100 times based on different random initial seeds for each  $k$  number. Following the work by Berry and Mace (2013) and Rossow et al. (2005), the optimal  $k$  in this study is selected as 4, because it is the minimum number so that (1) the resulting centroid histogram patterns do not change significantly for different initial seeds, (2) the resulting centroid patterns differ from each other substantially, and (3) the distance between cluster centroids are larger than the dispersions of the cluster member distances from the centroid. The final cluster set was chosen with the least sum of variance around each of the four cluster centroids among the 100 test results.

## 3. Results

### 3.1. Mean Cirrus Properties

A joint radar reflectivity-height histogram with a height bin of 0.5 km and a reflectivity bin of 2 dBZ is built up based on the 2 year radar-identified cirrus profiles to give an overview of cirrus occurrence as shown in Figure 2a. The reflectivity of cirrus ranges approximately from  $-60$  to  $14$  dBZ, most frequently occurring at about  $7.2$  km with a reflectivity of  $-17$  dBZ. The radar reflectivity decreases with increasing height. This phenomenon is also observed by both space- and ground-based cloud radars over other regions above freezing level (Liu et al., 2010; Marchand et al., 2009; Protat et al., 2009). This is because larger cloud particles will be generated in the lower atmosphere due to the higher water content for deposition and aggregation growth in that layer. Note that a second mode can also be seen in Figure 2a at the lower left side of the histogram (i.e.,  $\text{dBZ} \leq -42$ ,  $\text{height} \leq 7$  km) where the radar reflectivity increases with increasing height. This mode roughly accounts for 4.7% of the total cirrus data. Interestingly, we found that this part of data is mainly distributed either at the top or the bottom of cloud layers as shown in Figure 2b where the cloud height is normalized as that cloud base corresponds to 0 and cloud top to 1. We further plot the joint reflectivity-height histogram for the top one tenth (Figure 2c) and the bottom 10% (Figure 2d) of all cloud layers to examine if the second mode shown in Figure 2a is distinct at all cirrus boundary layers. The reflectivity of the maximum frequency at each height shows an increase with height at the both top and bottom of cirrus layers. This behavior for cloud top layer may be explained by the homogeneous ice nucleation: the number of ice crystals nucleated by homogeneous freezing increases with decreasing temperature (i.e., increasing height). For the cloud base layer, ice cloud particles sublimate when they settle through the subsaturated layer. Lower cloud base generally corresponds to higher temperature and lower relative humidity (RH) that may increase the sublimation of ice particles to be smaller.

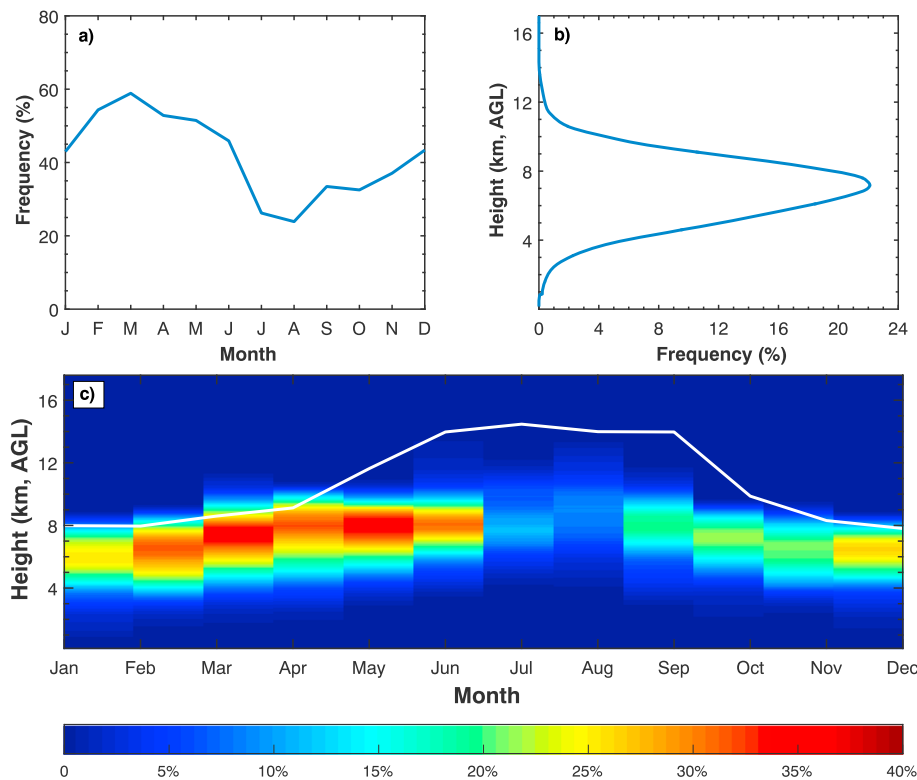
Cirrus occurrence, which is the ratio of the number of identified cirrus profiles to the total number of available profiles, are shown in Figure 3a for each month from the two-year observations. One can see that cirrus occurrence at the SACOL has a significant seasonal variation which is associated closely with the annual variation of the meteorological conditions. Cirrus occurs more often in cold season than warm season over this region. It reaches the maximum occurrence of 60% in March when the subtropical jet stream is relatively strong and cold front occurs most frequently over a broad area of northern China. The occurrence gradually drops to the minimum of about 24% in August when the RH in the upper troposphere is much lower than other seasons with relatively weak vertical motions. The vertical distribution of cirrus occurrence (Figure 3b), which is defined as the number of cirrus in each vertical interval (i.e., 30 m) divided by the total number of observed profiles, exhibits a peak of 22% at about  $7.2$  km AGL. Interestingly, the height of maximum cirrus occurrence in altitude above mean sea level (i.e., about  $9.2$  km) is almost the same as that for the high clouds at the Atmospheric Radiation Measurement (ARM) Southern Great Plains (SGP) site from eight year



**Figure 2.** (a) Joint reflectivity-height histogram derived from identified cirrus profiles during August 2013 to July 2015 at the Semi-Arid Climate and Environment Observatory of Lanzhou University. (b) Frequency distribution of second mode in Figure 2a as a function of normalized cloud height. (c) and (d) Joint reflectivity-height histogram for cloud top and base layers, respectively. The white line indicates the reflectivity value with the maximum frequency of occurrence at each height interval.

observations (Mace & Benson, 2008). The time-height cross section of cirrus occurrence, i.e., the vertical distributions of cirrus occurrence for twelve months, is shown in Figure 3c where tropopause is also plotted which is derived from ERA-interim data by using a thermal and dynamic blended method (Wilcox et al., 2012). One can see that cirrus clouds tend to occur at higher altitude in warm season than those in cold season, which tracks the annual cycle of tropopause height. Similar findings that cirrus tops tend to be closer to the tropopause during cold season than warm season and a small fraction of cirrus tops can be above the mean tropopause in spring were also observed over the SGP site reported by Mace et al. (2001).

Since cloud top and base heights can largely influence the cloud radiative effects on thermal infrared radiation at both the surface and the top of the atmosphere, the monthly variations and frequency distributions of radar-echoed cirrus cloud base and top heights are presented in Figure 4. Similar to the vertical resolved distribution of cirrus occurrence in Figure 3c, cirrus cloud top and base heights show a strong seasonal variations (Figures 4a and 4b). Cirrus top height has a minimum median value of 7.1 km AGL in January and exhibits an increase in summer, peaking in August with the monthly median values of 10.0 km AGL, and then gradually decreases as winter approaches, which again is apparently limited by the evolution of tropopause. Cirrus base height has the same annual cycle as top height but with a smaller magnitude. The maximum and minimum monthly median values of cirrus base height are 8.5 and 5.1 km AGL, respectively. The maximum occurrence frequencies of the cirrus top and base are 13.7% and 10.6% at 8.0 and 6.5 km AGL, respectively. The annual mean cirrus base height listed in Table 1 is similar to the zonal averaged value at the same latitude from A-train satellite observations; however, the mean cirrus top height from our radar is about 0.5 km lower than that from Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations (Nazaryan et al., 2008; Sassen et al., 2008), which may be due to the high sensitivity of lidar to small particles. The cloud base and top distributions are further inspected by examining the joint height-temperature histograms (Figures 4e



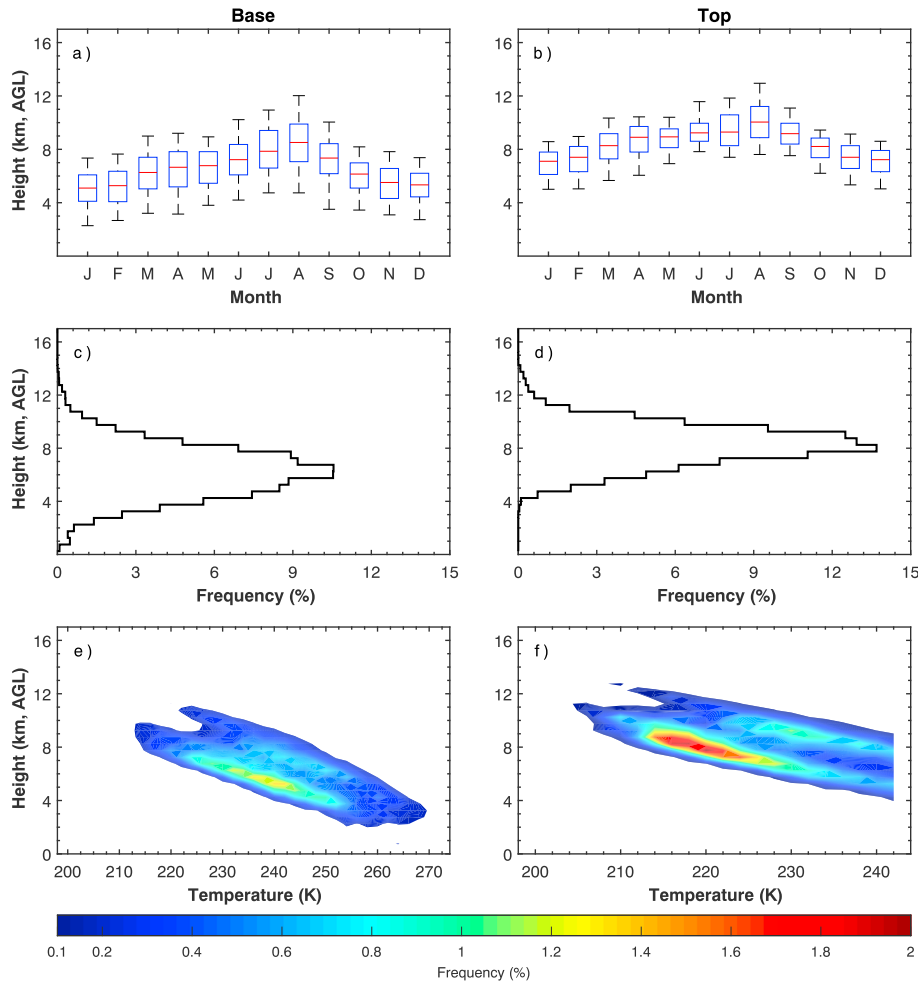
**Figure 3.** Cirrus occurrence statistics during the study period: (a) Annual cycle of monthly averaged cirrus occurrence. (b) Vertical distribution of cirrus occurrence. (c) Annual cycle of vertical resolved cirrus occurrence. White line represents the monthly averaged tropopause height.

and 4f). It is obviously that two modes exist, showing a linear relation between cirrus top (base) height and temperature. The slopes are about  $8^{\circ}\text{C}/\text{km}$  for the two modes of cirrus base and the lower mode of cirrus top, and  $6^{\circ}\text{C}/\text{km}$  for the upper mode of cirrus top. Each mode identified in Figures 4e and 4f is associated with cirrus mainly from a particular season. The mode with relative smaller occurrence frequency in the upper levels is mainly from the warm season (May to October) during which the coldest cirrus occurs, while the lower mode is mostly from the cold season (November to April). It is apparent that cirrus occurs over a broader range of temperature in warm season than it does in cold season. Although cirrus top in warm season is generally higher than in cold season, the maximum of cirrus top occurrence in warm season appears at a higher temperature compared with that in cold season.

### 3.2. Characteristics of Cirrus Regimes

From above results, it is clear that cirrus macroproperties have apparent seasonal variations. There is no doubt that the season-dependent cirrus properties are related to the dynamics and thermodynamics of the atmosphere in different seasons. In order to better understand how large-scale atmospheric conditions affect cirrus characteristics, cirrus events are further grouped into four distinct cloud regimes based on the clustering analysis. This method is proved to be an effective way to understand the connections between cloud properties and synoptic processes (Berry & Mace, 2013; Gordon & Norris, 2010; Jakob & Tselioudis, 2003; Zhang et al., 2007).

The four cirrus regimes are physically determined from the cirrus geometric parameters (i.e., cloud top height and thickness) and persistence without a prior knowledge about the meteorological process. The mean values for each of the cirrus regimes are listed in Table 1. The four cloud regimes are (1) thick cirrus clouds with a mean thickness of 2.22 km, a moderate mean persistence of 7.5 h, and a cloud top of 8.31 km on average (i.e., C1); (2) upper troposphere thin cirrus with the smallest thickness and persistence of 0.90 km and 1.5 h, respectively, and the highest mean top of 9.8 km (AGL) (i.e., C2); (3) extensively thick cirrus (C3) with the largest thickness of 2.83 km and the longest persistence of 17.7 h; and (4)



**Figure 4.** Cirrus cloud top and base statistics: (a) and (b) annual cycle of cloud base and top height. The horizontal line through each box is the median value; the top and bottom of each box marks the 75th and 25th percentiles, and whiskers mark the 95th and 5th percentiles, respectively. (c) and (d) Frequency distributions of cirrus base and top height. (e) and (f) Cirrus base and top occurrence frequency as a function of height and temperature, at the Semi-Arid Climate and Environment Observatory of Lanzhou University during the study period.

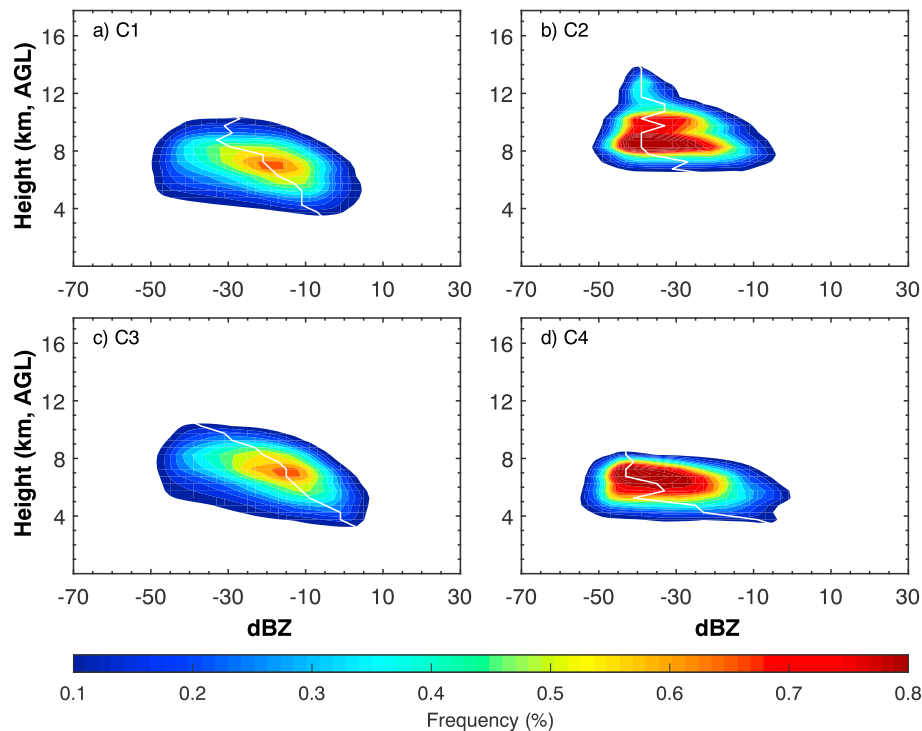
midtroposphere thin cirrus clouds (C4) with similar thickness and persistence to C2, but the lowest cloud top at about 6.7 km (AGL).

Figure 5 shows the joint reflectivity-height histograms for each of the four cirrus regimes. Interestingly, the cirrus radar reflectivity is better correlated with height (i.e., temperature) for the regimes C1 and C3, as

**Table 1**  
Mean and Standard Deviation of Cirrus Properties for Each Cluster

	All clouds	Cluster 1	Cluster 2	Cluster 3	Cluster 4
Cloud frequency (%)	41.6	15.9	2.5	19.9	3.3
Top (km, AGL)	8.43 ± 1.48	8.31 ± 1.47	9.80 ± 1.39	8.63 ± 1.29	6.72 ± 1.01
Base (km, AGL)	5.97 ± 1.87	5.97 ± 1.76	8.84 ± 1.49	5.67 ± 1.78	5.71 ± 1.21
Thickness (km)	2.33 ± 1.65	2.22 ± 1.41	0.90 ± 0.67	2.83 ± 1.76	0.95 ± 0.71
Top temperature (K)	226.3 ± 9.4	228.2 ± 8.8	227.4 ± 9.8	223.4 ± 9.1	231.0 ± 8.5
Base temperature (K)	234.6 ± 12.6	235.2 ± 11.7	229.7 ± 10.8	235.0 ± 13.7	234.2 ± 10.4
Temperature of maximum dBZ (K)	234.2 ± 11.0	235.0 ± 10.4	228.9 ± 9.6	234.2 ± 11.9	234.0 ± 8.3
Persistence (hour)	5.8 ± 6.3	7.5 ± 3.2	1.5 ± 1.8	17.7 ± 3.8	1.5 ± 1.8

Note. AGL = above ground level.

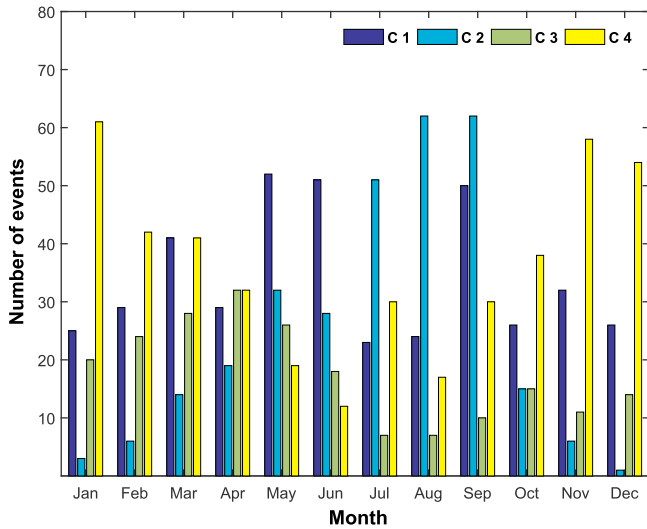


**Figure 5.** Joint reflectivity-height histograms of the four cloud regimes. The white line indicates the reflectivity value with the maximum frequency of occurrence at each height interval.

compared with C2 and C4. For the thin cirrus regimes shown in Figures 5b and 5d, the radar reflectivity mainly distributes over a relatively smaller range indicating a smaller cirrus particle size and a narrow distribution than those for thick cirrus regimes. The reflectivity with the maximum frequencies of occurrence in Figures 5b and 5d does not show a decrease with height as clearly as those shown in Figures 5a and 5c. Note that the ice water content is usually retrieved through establishing the temperature and reflectivity-dependent expressions (Hogan et al., 2006); Figure 5 demonstrates that the relationship for different cloud regimes, which corresponds to different synoptic processes, can be quite different. It thus may be difficult to narrow the retrieval uncertainties of cirrus microphysical properties if one parameterization formula is applied for all different clouds regimes.

To provide an overall picture of the relationship between atmospheric states and cirrus clusters, the seasonal distribution of the event number and the diurnal cycle for each cirrus regime are plotted in Figures 6 and 7, respectively. Since cloud formation is closely coupled to the large-scale circulations, it is not surprising that each cirrus regime has a distinct seasonal evolution. As Figure 6 shows, the number for C1 tends to be uniformly distributed throughout most of the year, except in the transitional months (i.e., March, May, June, and September) when different weather systems frequently happen inducing a significant increase of its formation. The number of C2 events peaks in the warmest months (July–September) with a sharp drop in the other months. This is consistent with the seasonal variation of tropopause height shown in Figure 3c. C3 has a preference for appearing in spring, during which the front and cyclone systems are most active, and a minimum number in summer months. The number of C4 events is relatively smaller in summer months and steadily increases on both sides of summer season reaching the maximum in the coldest months from December to January. Figure 7 demonstrates the apparent diurnal variation of occurrence for each cirrus regime with 1 h and 30 m temporal and vertical resolution. Cirrus in C1 tends to occur mostly in early morning and peaks around 0500, then decreases to the minimum at about 1800 local time (LT). C3 is mainly concentrated in the same layers between 4 and 10 km AGL as C1, but maximizes during night and has smaller occurrence during daytime. This variation is similar to the high cloud diurnal cycle in summer season at the ARM SGP site (Zhao et al., 2017). However, note that C3 at the SACOL site appears more often in cold seasons. The maximum of thin cirrus (i.e., C2 and C4) is in the morning between 0800 and 0900 LT,





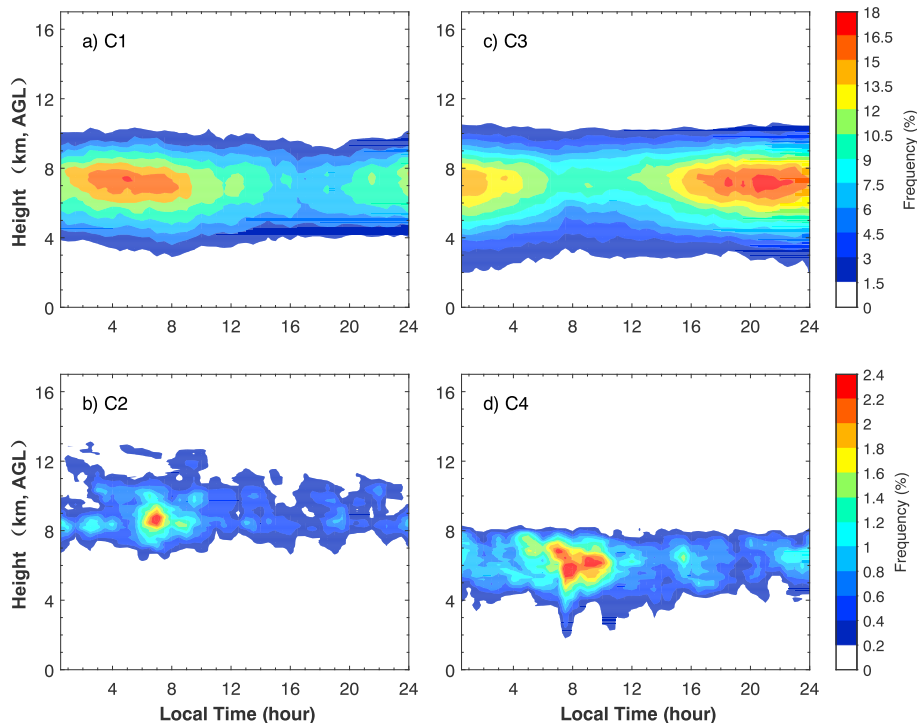
**Figure 6.** Number of cirrus events for each regime in each month derived from August 2013 to July 2015.

and then its occurrence decreases to less than 2% during the afternoon. The diurnal cycles of cirrus boundary height and thickness in each regime are displayed in Figure 8. Generally, cirrus thickness varies coincidentally with its occurrence. Compared to the cloud top height, cirrus base has more apparent diurnal cycle that largely determines the daily variation of thickness. Since the cirrus top does not have much diurnal variation, we may infer that net radiative effect of cirrus over the SACOL region mainly depends on its occurrence timing.

### 3.3. Large-Scale Atmospheric State

Clouds formation and development are controlled by dynamic and thermodynamic conditions (Bony et al., 2004; Yuan et al., 2008). The vertical velocity and RH have been considered as key parameters for cirrus evolution and residence (Heysmsfield & Miloshevich, 1995; Heysmsfield et al., 1998; Muhlbauer, Kalesse, & Kollias, 2014; Walcek, 1994). We first examine the mean values of these two parameters along with the wind speed and direction at 300 hPa level associated with cirrus occurrence for each regime (Figure 9). For the thick cirrus (i.e., C1 and C3), the maximum RH region with value greater than

70% centers over a broad area of the SACOL. The mean vertical velocity  $\omega$  for these two regimes over our site is negative, indicating ascending motions. The large-scale atmospheric conditions corresponding to C1 and C3 are clearly favorable for cloud formation. One can see that the high RH region extends over a larger area with much stronger upward motion for C3 than C1. This is consistent with cirrus in C3 which has larger thickness and longer persistence than C1 (see Table 1). For the thin cirrus (i.e., C2 and C4), the mean RH reduces to about 55%, and the mean vertical velocity turns out to be positive over the SACOL. The subtropical jet with relatively weak strength moves to the north of the SACOL, which will lead to a rapid increase of the tropopause height (Fu & Lin, 2011) over this region and thus cause a high location



**Figure 7.** The diurnal cycle of cirrus occurrence for each regime.

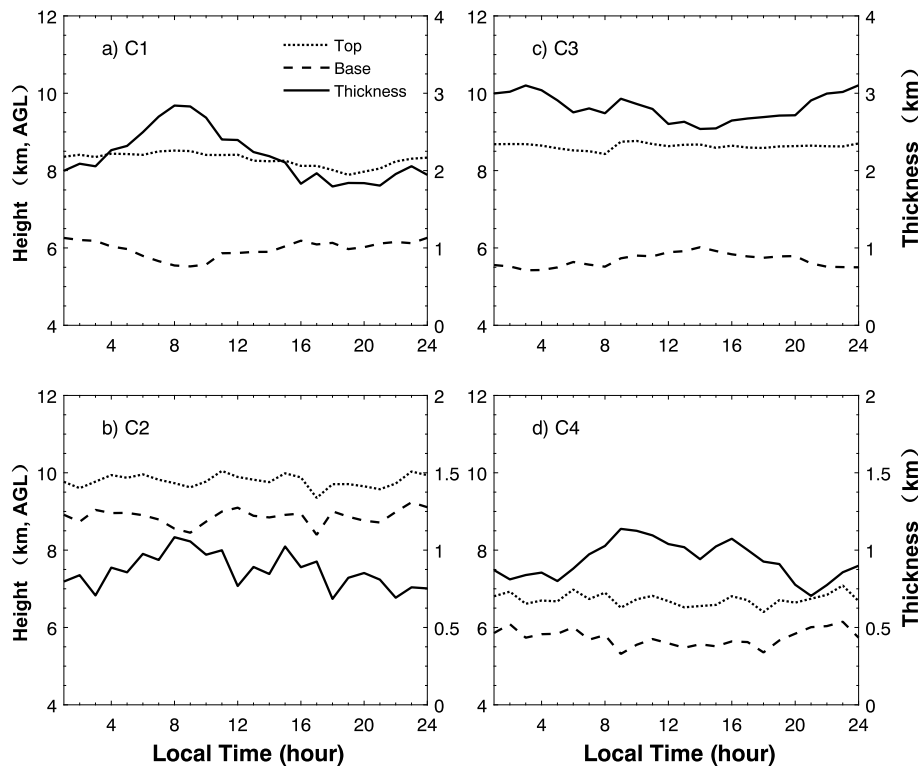
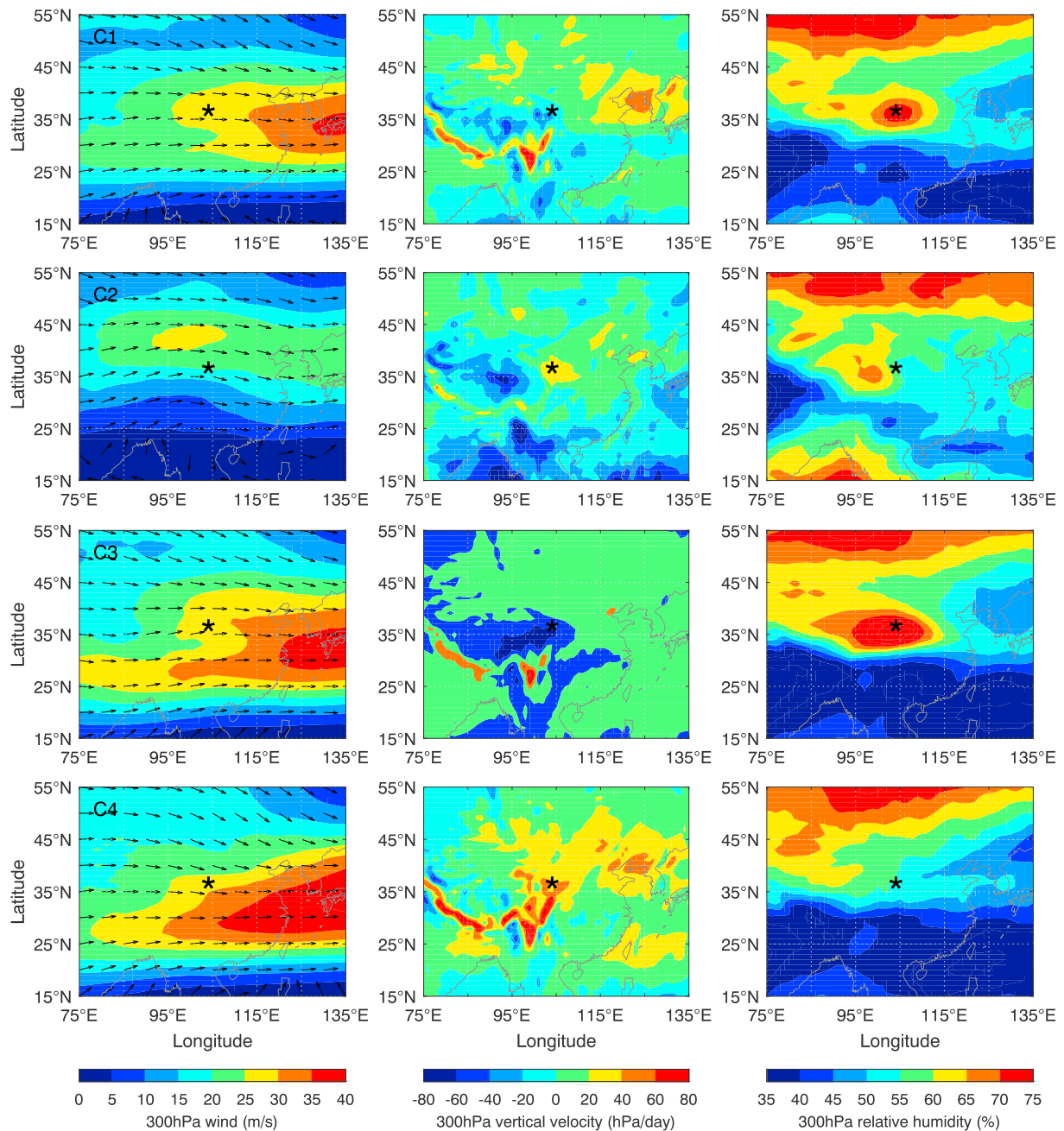


Figure 8. The diurnal cycles of cirrus cloud top and base height, and thickness for each regime.

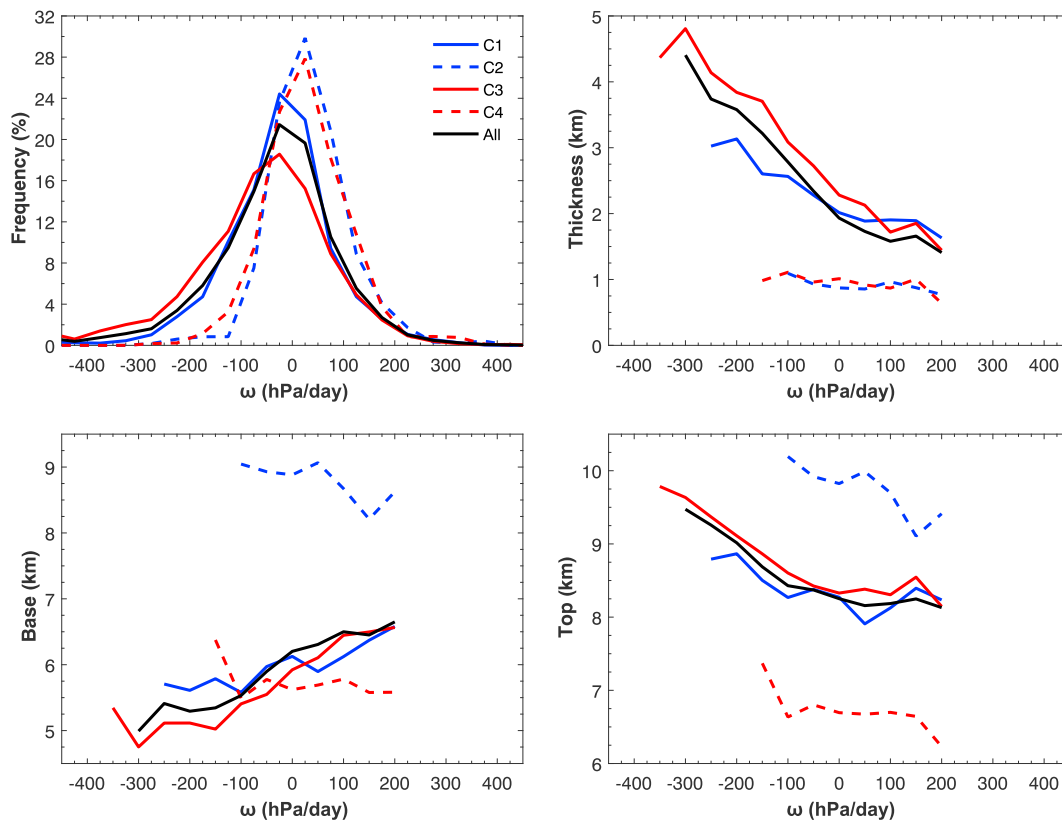
for C2. A flat ridge with its axis situating to the west of the observatory may account for the weak subsidence. The jet stream is strongest for C4, and the SACOL is just located at the left entrance of the jet that will cause an ageostrophic wind toward the left side of the jet axis (looking downwind direction) and consequently lead to the convergence and subsidence over this region. Although the mean larger atmospheric conditions are apparently unfavorable for cloud generation or maintenance, cirrus clouds in C2 and C4 can still account for 14% of the total cirrus occurrence. This finding is similar to the former studies at midlatitudes (Mace et al., 2006; Muhlbauer, Ackerman, et al., 2014; Sassen & Benson, 2001). Vertical motions are organized on different scales; it is worth nothing to find cirrus frequently occurring in the regions with adverse large-scale conditions. Nevertheless, cirrus clouds in C2 and C4, which are in subsidence and insufficient moisture conditions, have much smaller mean thickness and persistence compared with C1 and C3.

The detailed histograms of vertical velocity composited by the four cirrus regimes are shown in Figure 10a. These distributions are similar to those in Mace et al. (2006, 2001) who emphasized that the large-scale vertical motion is nearly as likely to be descending as ascending when cirrus clouds are observed. However the differences in the distributions of vertical velocity among the cirrus regimes are as distinct as their macroproperties. For thick cirrus clouds, the vertical velocity distributes broadly toward negative values and has a wider range for the extensive thick cirrus, while the distributions have narrow ranges and are slightly skewed to positive values for thin cirrus. To further understand the impact of large-scale vertical velocity on cirrus macrophysical properties, Figures 10b–10d show the dependence of cirrus thickness, top, and base heights on the vertical velocity for each regime. Interestingly, the thickness consistently decreases with the  $\omega$  from strongly upward to intensely downward motions for all the cirrus regimes (Figure 10b). The correlation coefficients between the cirrus thickness and the vertical velocity are significant at the 95% confidence level with values ranging from  $-0.67$  to  $-0.95$  for the first three cirrus regimes. The correlation coefficient for C4 is  $-0.49$ , which does not pass the significance test. The effects of  $\omega$  on cirrus base and top heights are plotted in Figures 10c and 10d. As one can see, for the C1 and C3 cirrus regimes ascending motion will lower the cirrus base height and raise the cloud top. This may be that



**Figure 9.** Composite mean wind speed and direction (left column), vertical velocity (middle column), and relative humidity (right column) at 300 hPa from the ERA-Interim reanalysis for the four cloud regimes (i.e., C1 to C4). The asterisk denotes the location of the Semi-Arid Climate and Environment Observatory of Lanzhou University site.

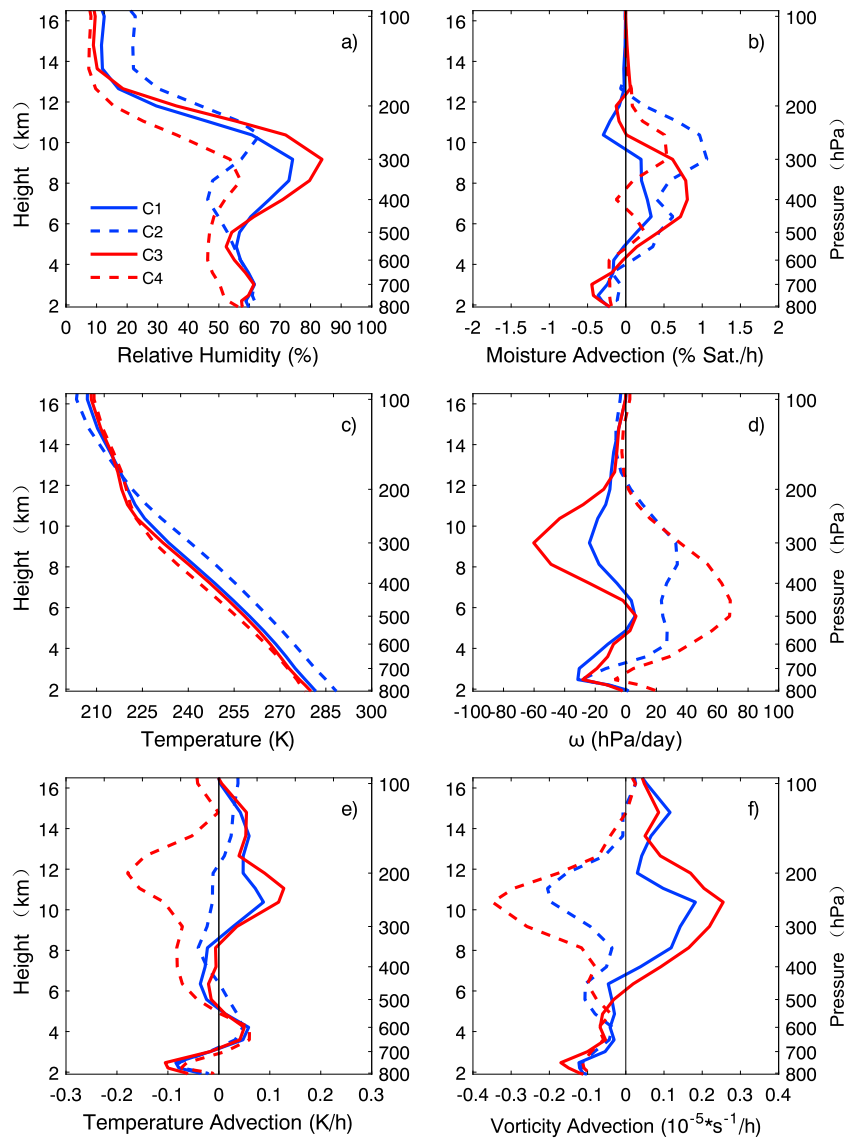
stronger ascending motion can lift particles to higher altitudes (i.e., increase the cloud top), deepen the supersaturation layer via adiabatic cooling, and maintain the growth of ice crystal particles to larger sizes through the water vapor deposition and aggregation processes until they fall out the supersaturated layer. These falling particles with large size will have longer lifetime before sublimating beyond the radar sensitivity (i.e., low cloud base). Note that the RH of the environment is much lower when thin cirrus occurs, and upward motion affects more significantly the cloud top height rather than base for C2 and C4. This finding is crucial, since current GCMs have difficulties in correctly representing cloud properties and simulated clouds are often too thick and too horizontally uniform relative to observations (Gordon et al., 2005; Luo et al., 2005; Williams & Webb, 2009). Our results imply that the large-scale vertical



**Figure 10.** Large-scale vertical motion at 300 hPa from the ERA-Interim reanalysis over the Semi-Arid Climate and Environment Observatory of Lanzhou University when cirrus is observed and mean relationships between cirrus macrophysical properties and vertical velocity. (a) Frequency distribution of vertical velocity. (b)–(d) Averaged cirrus thickness and top and base heights as a function of vertical velocity. The black lines are for all cirrus. The red and blue lines are for each cluster.

velocity may be used as an effective parameter to constrain the variation of cirrus macroproperties and microproperties.

The vertical profiles of atmospheric conditions (RH, temperature, and vertical velocity) as well as the advective forcings (moisture, temperature, and vorticity advections) associated with the different cirrus regimes are shown in Figure 11, providing further insights of the relationship between cirrus properties and atmospheric thermodynamic and dynamic parameters. Generally, the mean cloud properties of each cirrus regime are well confined by the meteorological conditions and dynamic forcings. The mean height of cirrus layer for each cluster is coincident with the peak height of the composited RH profile, while the cirrus thickness and persistence are obviously related to the magnitude of RH (Figure 11a). C1 and C3 have similar vertical distributions of atmospheric conditions and dynamic forcings but with different magnitudes. They both have positive moisture advection (Figure 11b) and ascending motions from 400 to 200 hPa maximizing at 300 hPa (Figure 11d). Vertical velocity can be largely explained by temperature and vorticity advections. The warm advection (Figure 11e) and positive vorticity advection (Figure 11f), which induces a divergence at the level of advection, can directly explain the upward motion in C1 and C3. The temperature and vorticity advection forcings indicate that these thick cirrus clouds are generated in the regions ahead of a trough and behind of a ridge. For the thin cirrus, C4 has the strongest descending motions as shown in Figure 11d. The cold advection and large negative vorticity advection demonstrate that these cirrus clouds are in the zone between the back of a trough and the crest of a ridge. Note that the mean cloud base height of C4 is similar to that of C1 and C3, and the event number distributions of C1, C3, and C4 are also comparable as shown in Figure 6. We may infer that cirrus in C4 are possibly formed from upstream and advected over the SACOL site. C2 has the largest moisture advection in the upper troposphere. This may be especially important for the maintenance of those thin cirrus at high altitude because the RH and vertical motion are obviously unfavorable for the cloud growth conditions. The temperature advection is nearly 0 due to the relative small wind speed and weak temperature gradient in the high-pressure region. The negative vorticity advection gradually



**Figure 11.** Vertical profiles of mean atmospheric states and advective forcings associated with different cirrus regimes. (a) relative humidity, (b) moisture advection, (c) temperature, (d) vertical velocity, (e) temperature advection, and (f) vorticity advection.

decreases with height until above 225 hPa, causing a weak downward motion from middle to upper troposphere (Figures 11d–11e).

#### 4. Conclusions

Two-year observations from the KAZR at the SACOL are used to examine the cirrus cloud occurrence and macroproperties and the relationship between large-scale atmospheric states and cirrus properties. Cirrus cloud is identified based on the temperatures at cloud base, top, and the maximum reflectivity layer using an empirical equation proposed by Mace et al. (2006). A *k*-mean cluster method is used to classify cirrus into four distinct regimes with respect to their geometric parameters and persistence. Large-scale atmospheric states from the ERA-Interim reanalysis are composited by the different cirrus regimes to examine the relationship between cirrus properties and the atmospheric conditions. Vorticity and temperature advections, which are associated with the four cirrus groups and can be used to estimate vertical velocity and infer synoptic pattern evolution, are also investigated.

It is found that cirrus clouds occur 41.6% of the observation time and most frequently appear at about 7.2 km AGL associated with a reflectivity of  $-17$  dBZ. Cirrus occurrence and location over the SACOL have significant seasonal variations. The occurrence peaks in March with a value of 60% and then gradually drops to the minimum about 24% in August, while they tend to occur at higher altitude in warm season than those in cold season that track the annual cycle of tropopause height.

Cirrus clouds are classified into four regimes according to their different physical properties. The thick and extensively thick cirrus clouds are the dominant regimes, which occupy 86.1% of the total observed cirrus profiles at the SACOL and are associated with favorable synoptic conditions (i.e., large RH, mean ascend motion, warm advection, and positive vorticity advection) for cloud formation. Thin cirrus can be grouped into high-troposphere and midtroposphere cirrus regimes. These two cirrus regimes are found under adverse environment conditions with mean descending motions, cold advection, and negative vorticity advection. The cirrus event of each regime also has distinct seasonal and diurnal distributions. The thick cirrus occurs evenly during most of the year, except in the transitional months. The extensively thick cirrus has a preference for appearing associated with fronts and cyclones in spring. These two dominant cirrus regimes appear more often during night to early morning; we may infer that thick cirrus clouds over the SACOL mainly interact with longwave radiation and thus have significant warming effects. Thin cirrus in the upper troposphere exhibits evident peaks in the warmest months when the subtropical jet moves to the north of the SACOL site, while thin cirrus in midtroposphere is rare in summer but steadily increases on both sides of the summer season. These two thin cirrus regimes peak in the morning but with an hour phase difference. The distinct differences of cirrus physical properties and seasonal and diurnal distributions among the four regimes indicate that the cirrus cloud properties significantly depend on large-scale synoptic conditions. Although the probability density function of large-scale vertical motion shows that descending motion is as likely as ascending when cirrus clouds are observed, we find a significant correlation between cirrus thickness and the vertical velocity. This implies that large-scale vertical velocity may be used to constrain the variations of cirrus thickness simulated by GCM. Considering that cirrus macroproperties are the external appearance of its microphysical structure, we also infer that vertical velocity may have strong effects on cirrus microproperties. A detailed study on the relationship between vertical velocity and cirrus properties will be carried out in our future work.

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